

# 41 is the Largest Size of a Cap in PG(4,4)

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Communicated by: J. D. Key

Received January 16, 1998; Revised May 4, 1998; Accepted July 16, 1998

Abstract. We settle the question of the maximal size of caps in PG(4, 4), with the help of a computer program.

Keywords: caps, codes, projective spaces, ovoids, projective groups

# 1. Introduction

A **cap** in PG(k-1, q) is a set of points no three of which are collinear. If we write the *n* points as columns of a matrix we obtain a (k, n)-matrix such that every set of three columns is linearly independent, hence the generator matrix of a linear orthogonal array of strength 3. This is a check matrix of a linear code with minimum distance  $\geq 4$ . We arrive at the following:

THEOREM 1 The following are equivalent:

- A set of n points in PG(k-1, q), which form a cap.
- A q-ary linear orthogonal array of length n, dimension k and strength 3.
- A q-ary linear code  $[n, n-k, 4]_q$ .

Denote by  $m_2(k, q)$  the maximum cardinality of a cap in PG(k, q). Assume q > 2. It is known that

$$m_2(2,q) = \begin{cases} q+1 & \text{if } q \text{ is odd} \\ q+2 & \text{if } q \text{ is even} \end{cases}$$

and  $m_2(3, q) = q^2 + 1$ . Only two values  $m_2(k, q)$  are known when q > 2, k > 3. These are  $m_2(4, 3) = 20$  (the Pellegrino caps [6]) and  $m_2(5, 3) = 56$  (the Hill cap [5]). In this paper we are going to establish the following:

THEOREM 2  $m_2(4, 4) = 41$ .

The lower bound has been established by Tallini [7] in 1964. In the last section we will give two essentially different 41-caps in PG(4, 4). We have to prove that PG(4, 4) does not contain a 42-cap.

Assume there is a 42-cap  $\mathcal{K} \subset PG(4, 4)$ . Denote by a(i) the number of hyperplanes meeting  $\mathcal{K}$  in precisely *i* points. Construct a quaternary (5, 42)-matrix *G* with the points of the cap as columns. Put  $\mathcal{K} = \{P_1, P_2, \ldots, P_{42}\}$ , where  $P_j$  corresponds to column *j* of *G*. Matrix *G* is a generator matrix of a quaternary linear code *C* of length 42 and dimension 5. Denote by  $A_i$  the number of code-words of weight *i*. The rows of *G* will be denoted by  $v_i, i = 1, 2, 3, 4, 5$ . Let  $0 \neq x = (x_1, x_2, \ldots, x_{42}) \in C$ . Then  $x = \sum_{i=1}^{5} \lambda_i v_i$ , where  $\lambda_i \in \mathbf{F}_4$ . Consider the hyperplane  $H = (\lambda_1, \ldots, \lambda_5)^{\perp}$ . We have  $P_j \in H \iff x_j = 0$ . This shows that there is a 1-1 correspondence between hyperplanes intersecting  $\mathcal{K}$  in *i* points and 1-dimensional subspaces of code C, whose nonzero vectors have weight 42 - i. This proves the following well-known fact:

THEOREM 3 Let  $\mathcal{K} \subset PG(4, 4)$  be a 42-cap and  $\mathcal{C}$  a quaternary code generated by a matrix whose columns represent the points of  $\mathcal{K}$ . Denote by a(i) the number of hyperplanes meeting  $\mathcal{K}$  in precisely *i* points, by  $A_i$  the number of code-words of weight *i*, *i* = 1, 2, ..., 42. Then the following holds for all *i* :

$$A_i = 3 \cdot a(42 - i).$$

It is known that the maximum possible minimum distance of a quaternary code of length 42 and dimension 5 is d = 29, see Brouwer's data base [3]. Theorem 3 shows that some hyperplane H must meet  $\mathcal{K}$  in at least 13 points.

LEMMA 1 Let  $\mathcal{K} \subset PG(4, 4)$  be a 42-cap. There is a hyperplane H such that  $|\mathcal{K} \cap H| \ge 13$ .

Clearly  $\mathcal{K} \cap H$  is a cap in PG(3, 4). Its cardinality is therefore bounded by 17 from above. Our proof will consist of two steps: We will classify all caps in PG(3, 4) with at least 13 points, up to operation of the group  $P\Gamma L(4, 4)$ . The second and decisive step is to run a program, which in each of these cases completes an exhaustive search for 42-caps intersecting a fixed hyperplane in a given cap of cardinality  $\geq 13$ . The program is written in C++. The central recursive procedure is printed and explained in Section 3. The program needs about 1MB of memory. On a HP 712/60 workstation it runs from 17 hours when starting from the ovoid in PG(3, 4) up to 19 days starting from a 13-cap in PG(3, 4).

## 2. Caps in PG(3,4)

## 2.1. Caps in Ovoids

We are going to review some basic facts of geometric algebra. For an introduction see Artin [1]. It is well-known that the maximum size of a cap in PG(3, q), q > 2 is  $q^2 + 1$ . Also, the only 17-cap in PG(3, 4) is the ovoid. Ovoids may be described as follows:

Let Q be a non-degenerate quadratic form defined on the vector space V = V(2m, q). Denote by (, ) the symmetric bilinear form such that

$$Q(x + y) = Q(x) + Q(y) + (x, y)$$

for all  $x, y \in \mathbf{F}_q$ . Here we have specialized to the case of characteristic 2. Then (V, Q) is of one of two possible types, which are distinguished by the Witt index d, defined as the

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dimension of the largest totally isotropic subspace. d = m is called the (+)type, d = m - 1 the (-)type. The group of isomorphisms (the orthogonal group) is defined as the set of all elements in GL(2m, q), which respect this quadratic form. It is denoted by  $\Omega_{2m}^+(q)$  and  $\Omega_{2m}^-(q)$ , respectively. Here we are interested in the (-)type in dimension 4. The points of PG(3, q) are the 1-dimensional subspaces of V. The collection of isotropic points form a cap  $Q \subset PG(3, q)$ . It is easy to see that Q has  $q^2 + 1$  points (see [1]). The order of  $\Omega_4^-(q)$  (in characteristic 2) is

$$|\Omega_4^-(q)| = (q-1)(q^2+1)q^2(q+1)2 = 2(q^2-1)q^2(q^2+1).$$

It is known that  $\Omega_4^-(q)$  is isomorphic to a subgroup of  $P\Gamma L(2, q^2)$ , in its action on the points of the projective line  $PG(1, q^2)$ . Put  $G_0 = PGL(2, 16) = SL(2, 16)$ . This is a simple group, which under this isomorphism maps to a subgroup of index 2 in  $\Omega_4^-(4)$ . As  $P\Gamma L_2(q^2)/PGL_2(q^2)$  is cyclic it follows that the isomorphism carries  $\Omega_4^-(4)$  to  $G = SL_2(16)\langle\phi\rangle$ , where  $\phi$  is induced by the field automorphism  $x \mapsto x^4$ . We study the operation of *G* on subsets of cardinality at least 13 of PG(1, 16). As  $G_0$  is 3-transitive there is one such orbit for each of the cardinalities 17,16,15,14. The operation on the 13-sets is similar to the operation on the complements, the 4-sets. The orders of our groups are  $g_0 = |G_0| = 17.16.15$  and  $g = |G| = 2 \cdot g_0$ . As  $\binom{17}{4}$  does not divide *g*, there must be more than one orbit. For concrete calculations we use the representation of **F**<sub>16</sub> as given in the last section. Consider the orbits of  $G_0$  on 4-subsets. Because of 3-transitivity each such orbit has a representative  $\{\infty, 0, 1, x\}$ . The stabilizer of  $\{\infty, 0, 1\}$  in  $G_0$  is a symmetric group generated by the elements  $\tau \mapsto \tau + 1$  and  $\tau \mapsto 1/\tau$ . The orbits of this group on 14 elements of  $\mathbf{F}_{16} \setminus \mathbf{F}_2$  are the following:

$$\{\omega, \omega^2\}, \{\epsilon, \epsilon^3, \epsilon^4, \epsilon^{11}, \epsilon^{12}, \epsilon^{14}\} \text{ and } \{\epsilon^2, \epsilon^6, \epsilon^7, \epsilon^8, \epsilon^9, \epsilon^{13}\}.$$

It follows that  $G_0$  has at most 3 orbits of 4-sets. The Frobenius automorphism  $\phi$  fixes  $\infty$ , 0 and 1. As it maps  $\epsilon \mapsto \epsilon^4$  it follows that the orbits of G on 4-sets agree with the orbits of  $G_0$ . In order to be on the safe side let us calculate the number of orbits. Here is the character-table of  $SL_2(16)$ , followed by the permutation character  $\pi_4$  on the unordered 4-sets. The character-tables of the groups  $PGL_2(q)$  have been given in [2].

The character-table of <i>SL</i> (2,16)									
	1	Z.	$a^r$	<i>a</i> <sup>3</sup>	$a^6$	$a^5$	$b^s$		
1	1	1	1	1	1	1	1		
St	16	0	1	1	1	1	-1		
χi	17	1	$\alpha^{ir} + \alpha^{-ir}$	$\alpha^{3i} + \alpha^{-3i}$	$\alpha^{6i} + \alpha^{-6i}$	$\alpha^{5i} + \alpha^{-5i}$	0		
$\Theta_j$	15	-1	0	0	0	0	$-(\beta^{js}+\beta^{-js})$		
$\pi_4$	$\binom{17}{4}$	28	0	0	0	10	0		

Here  $\alpha$ ,  $\beta$  are primitive 15<sup>th</sup> and 17<sup>th</sup> roots of unity, respectively. We have i = 1, ..., 7;  $j = 1, ..., 8, r \in \{1, 2, 4, 7\}$ . a and b are elements of orders 15 and 17 in SL(2, 16), respectively. Each nonidentity power of a has  $\langle a \rangle$  as centralizer, each nonidentity power of b has  $\langle b \rangle$  as centralizer. As we know the cycle type of each element of SL(2, 16) we can also determine the number of unordered 4-sets it fixes. These are the values of  $\pi_4$ . For example, a has type (15,1,1). Clearly  $\pi_4(a) = 0$ . As  $a^5$  has type (3,3,3,3,3,1,1) we see that this element fixes precisely 10 unordered 4-sets, hence  $\pi_4(a^5) = 10$ .

The number of orbits of  $SL(2, 16) (= G_0)$  an unordered 4-sets is given by the scalar product  $(\pi_4, 1)$ , where 1 is the trivial character. We obtain

$$(\pi_4, 1) = \frac{1}{17.16.15} \left( \binom{17}{4} + 28.17.15 + 10.17.16 \right) = 3.$$

We conclude that  $G_0$  (and therefore also G) has three orbits of 4-sets. Denote these orbits by  $\mathcal{O}_1$ ,  $\mathcal{O}_2$ ,  $\mathcal{O}_3$  where  $\mathcal{O}_1$  is the shortest orbit. We have seen that every unordered triple is contained in exactly 2 members of  $\mathcal{O}_1$ , in 6 of  $\mathcal{O}_2$  and in 6 of  $\mathcal{O}_3$ . By double counting we obtain  $|\mathcal{O}_1| = \binom{17}{3} \cdot 2/4 = 17.16.15/12 = 17.5.4 = 340$ , and  $|\mathcal{O}_2| = |\mathcal{O}_3| = 3|\mathcal{O}_1|$ . It is reconforting to note that these numbers add up to  $\binom{17}{4}$ . The stabilizer of a representative of  $\mathcal{O}_1$  therefore has order g/340 = 24 and the stabilizers of representatives of the remaining orbits have orders 24/3 = 8.

LEMMA 2 *G* has three orbits of unordered 4-subsets in its action on the projective line. The corresponding stabilizers have orders 24, 8 and 8, respectively. These orbits are also full orbits under  $G_0$ .

So far we considered the action of  $PGL_4(q)$  on quadratic forms. The group  $\Omega_4^-(q)$  was defined as the stabilizer of on ovoid under this group. It is clear that the larger group  $P\Gamma L_4(q)$  permutes quadratic forms. Denote the stabilizer of an ovoid under this group by  $O_4^-(q)$ . Let  $q = 2^f$ . Then  $P\Gamma L_4(q)$  is an extension of  $PGL_4(q)$  by the cyclic group of order f generated by the Frobenius mapping  $x \mapsto x^2$ . As the image of an ovoid under the Frobenius is an ovoid again, it follows that  $O_4^-(q)$  is an extension of  $\Omega_4^-(q)$  by a cyclic group of order f. It is in fact known that

$$O_4^-(q) \cong P\Gamma L_2(q^2)$$

and the operation of  $O_4^-(q)$  on the points of the ovoid is similar to the action of  $P\Gamma L_2(q^2)$  on the points of the projective line. Extending our earlier discussion of G on PG(1, 16) to the action of  $P\Gamma L_2(16)$  we see that this group fuses the two long orbits of 4-sets under G into one orbit. This yields the following:

LEMMA 3  $P\Gamma L_2(16)$  has two orbits of unordered 4-subsets in its action on the projective line. The corresponding stabilizers have orders 48 and 8, respectively.

LEMMA 4 Two different ovoids in PG(3, 4) intersect in less than 13 points.

Proof. The quadratic form, which determines an ovoid, may be described by

$$Q(x_1, x_2, x_3, x_4) = x_1 x_2 + x_3^2 + x_3 x_4 + \omega x_4^2$$

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We start by exhibiting a set  $\mathcal{N} = \{P_i = \langle p_i \rangle \mid i = 1, 2, \dots, 9\}$  of 9 points, which is contained in V(Q) and in no other ovoid. We choose the  $p'_i$ s as follows:

i	$p_i$
1	(1,1,1,0)
2	$(\omega, \omega^2, 1, 0)$
3	$(\omega^2, \omega, 1, 0)$
4	(1,\omega,0,1)
5	$(\omega, 1, 0, 1)$
6	$(\omega^2, \omega^2, 0, 1)$
7	(1,\omega,1,1)
8	(ω ,1,1,1)
9	$(\omega^2, \omega^2, 1, 1)$

Let  $\rho \in \Omega_4^-(4)$  be described by

$$\rho(x) = (\omega x_1, \omega^2 x_2, x_3, x_4).$$

It is clear that  $\rho$  has order 3 and  $\{P_1, P_2, P_3\}, \{P_4, P_5, P_6\}, \{P_7, P_8, P_9\}$  are orbits of  $\rho$ . Assume  $\mathcal{N} \subset V(Q')$ , where  $Q'(x_1, x_2, x_3, x_4) = \sum_{i=1}^{4} \lambda_i x_i^2 + \sum_{i < j} \mu_{i,j} x_i x_j$ . Consider the three equations given by  $Q'(p_1) = Q'(p_2) = Q'(p_3) = 0$ . The sum of these equations yields  $\mu_{1,2} = \lambda_3$ . Other linear combinations yield  $\mu_{1,3} = \lambda_2$  and  $\mu_{2,3} = \lambda_1$ . In the same way the equations  $Q'(p_4) = Q'(p_5) = Q'(p_6) = 0$  yield  $\mu_{1,2} = \omega^2 \lambda_4, \mu_{1,4} = \omega^2 \lambda_4$  $\omega^2 \lambda_2, \mu_{2,4} = \omega^2 \lambda_1$ . We can express all coefficients in terms of  $\lambda_1, \lambda_2, \lambda_3$  and  $\mu_{3,4}$ . Finally, consider the equations  $Q'(p_7) = Q'(p_8) = Q'(p_9) = 0$ . The sum of these equations yields  $\mu_{3.4} = \lambda_3$ . Remain two independent vanishing linear combinations of  $\lambda_1$  and  $\lambda_2$ . This shows  $\lambda_1 = \lambda_2 = 0$ . If  $\lambda_3 = 0$ , we obtain the contradiction Q' = 0. We can therefore normalize  $\lambda_3 = 1$  and obtain Q' = Q.

We have shown that the only quadric containing  $\mathcal{N}$  is V(Q).

In order to complete the proof of the Lemma it suffices to show that each of the two orbits of 13-subsets of our ovoid under the action of the full orthogonal group contains a superset of  $\mathcal{N}$ . The union of  $\mathcal{N}$  with a full orbit of  $\rho$  and the fixed point (1:0:0:0) is a 13-cap, which is invariant under  $\rho$ . This is therefore a member of the short orbit of 13-caps under the action of the orthogonal group. Remains to show that not all 13-caps containing  $\mathcal N$  belong to the short orbit. We can work in the group  $PGL_2(16)$  in its action on the projective line. Elements of order 3 have precisely 2 fixed points. We can therefore change notation such that

# $\rho = (1, 2, 3)(4, 5, 6)(7, 8, 9)(10, 11, 12)(13, 14, 15)(X)(Y).$

Here we have abbreviated  $P_i$  by i, hence  $\mathcal{N} = \{1, 2, \dots, 9\}$ . Let  $M = \mathcal{N} \cup \{10, 11, X, Y\}$ . We claim that there is no element  $\rho' \in PGL(2, 16)$  of order 3 stabilizing M. This will prove then that the corresponding 13-cap belongs to the long orbit under the full orthogonal group.

Assume  $\rho'$  is such element.  $\rho'$  operates on the complement {12, 13, 14, 15} of M. It must have precisely one fixed point there. If this fixed point is 12, then  $\rho'$  agrees either with  $\rho$  or

with  $\rho^{-1}$  in its action on {13, 14, 15}. Because of the sharp triple transitivity of  $PGL_2(q)$  we conclude that  $\rho' = \rho$  or  $\rho' = \rho^{-1}$ . This is a contradiction.

It follows that we can assume without restriction that 13 is a fixed point of  $\rho'$ . It follows that either (12, 14, 15) or (12, 15, 14) is a cycle of  $\rho'$ . In the former case, (13, 15) is a cycle of  $\rho\rho'$ . It follows from the structure of *PGL*, that  $\rho\rho'$  has order 2. As it maps  $14 \mapsto 12$  we must have that  $\rho' : 10 \mapsto 14$ , contradiction. In the latter case  $\rho\rho'^{-1}$  contains the cycle (13, 15) and maps :  $14 \mapsto 12$ . It must therefore map  $12 \mapsto 14$ . This forces  $\rho' : 14 \mapsto 10$ , another contradiction.

It follows from Lemma 4 that each cap of size  $\geq 13$  in PG(3, 4) is contained in at most one ovoid. This has the consequence that the automorphism group of such a cap, which is contained in an ovoid, equals the stabilizer of the cap under the action of the automorphism group of the ovoid. We arrive at the following:

THEOREM 4 We consider orbits of caps of size  $\geq 13$  contained in some ovoid in PG(3, 4)under the action of  $P\Gamma L_4(4)$ . The following hold:

- There is one such orbit for each of the cardinalities 17,16,15,14. The automorphism groups have orders 17.16.15.4, 16.15.4, 120 and 24, respectively.
- There are two such orbits for cardinality 13. The automorphism groups have orders 48 and 8, respectively.

The following is an ovoid:

	The ovoid in <i>PG</i> (3,4)															
1	0	0	0	1	$\omega^2$	1	$\omega^2$	ω	1	$\omega^2$	ω	$\omega^2$	0	1	0	ω
0	1	0	0	1	ω	1	ω	1	ω	$\omega^2$	0	1	$\omega^2$	0	ω	$\omega^2$
0	0	1	0	1	1	0	0	1	1	1	ω	ω	ω	$\omega^2$	$\omega^2$	$\omega^2$
0	0	0	1	0	0	1	1	1	1	1	1	1	1	1	1	1

The first 13 columns yields a cap with 8 automorphisms. A cap with automorphism group of order 48 is obtained by restricting to columns 1, 2..., 12 and 15.

## 2.2. Caps not Contained in Ovoids

Let us call a cap in PG(3, 4) **non-embeddable** if it is not contained in an ovoid. The maximal cardinality of a non-embeddable cap is 14. According to [4] there is exactly one  $P\Gamma L(4, 4)$ -orbit of non-embeddable 14-caps. Here is a representative:

	The complete 14-cap $\mathcal{K}_{14}$ in PG(3, 4)												
1	0	0	0	1	$\omega^2$	ω	1	$\omega^2$	ω	1	0	ω	$\omega^2$
0	1	0	0	1	ω	$\omega^2$	1	ω	$\omega^2$	0	1	ω	$\omega^2$
0	0	1	0	1	1	1	0	0	0	1	1	1	1
0	0	0	1	0	0	0	1	1	1	1	1	1	1

Let *G* be the stabilizer of  $\mathcal{K}_{14}$  in  $P\Gamma L(4, 4)$ ,  $G_0 = G \cap PGL(4, 4)$ . Then  $G_0$  is a semidirect product of an elementary abelian group  $E_0$  by GL(3, 2). We have  $E_0 = \langle \alpha_1, \alpha_2, \alpha_3 \rangle$ , where

	$(0 \ 1 \ 0 \ 0)$		$(1 \ 0 \ 0 \ 1)$		$\begin{pmatrix} 0 & 1 \end{pmatrix}$	1	1
~ _	$1 \ 0 \ 0 \ 0$	a	0 1 0 1	a	1 0	1	1
$\alpha_1 =$	$0 \ 0 \ 1 \ 0$	$\alpha_2 =$	0 0 1 0	$\alpha_3 =$	0 0	1	0
	$(0 \ 0 \ 0 \ 1)$		0001	)	0 0	0	1)

Points of PG(3, 4) are written as column vectors.  $G_0$  is generated by  $E_0$ ,  $\tau$  and  $\sigma$ , where

$$\tau = \begin{pmatrix} 1 & 0 & 1 & \omega \\ 0 & 1 & 1 & \omega^2 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{pmatrix} \sigma = \begin{pmatrix} 0 & 1 & 0 & \omega \\ 0 & 1 & 1 & \omega \\ 0 & 0 & 0 & 1 \\ 1 & 1 & 0 & 1 \end{pmatrix}$$

Here  $\tau$  has order 4, $\sigma$  has order 7. The stabilizer of  $\mathcal{K}_{14}$  in  $P\Gamma L(4, 4)$  is the direct product of  $G_0$  and its center  $\langle \alpha_3 \phi \rangle$  of order 2.  $\phi$  denotes the Frobenius automorphism. In particular the automorphism group G is transitive on the points of  $\mathcal{K}_{14}$ .

It follows from [4] that there is precisely one orbit of complete 13-caps. A non-complete non-embeddable 13-cap must be embeddable in  $\mathcal{K}_{14}$ . As the automorphism group of  $\mathcal{K}_{14}$  is transitive on its points we see that there is at most one orbit of non-embeddable non-complete 13-caps. It is easy to check that the 13-caps contained in  $\mathcal{K}_{14}$  are indeed non-embeddable. We conclude that there are precisely two orbits of non-embeddable 13-caps. Here is a complete 13-cap:

	The complete 13-cap $\mathcal{K}_{13}$ in PG(3, 4)											
1	0	0	0	1	$\omega^2$	ω	1	$\omega^2$	ω	1	ω	0
0	1	0	0	1	ω	$\omega^2$	1	ω	$\omega^2$	0	1	$\omega^2$
0	0	1	0	1	1	1	0	0	0	1	1	1
0	0	0	1	0	0	0	1	1	1	1	1	1

# 3. The Main Recursive Procedure

## 3.1. The Recursive Procedure

We print here the heart of the C++ program, the recursive procedure. In the following subsection we will provide an explanation.

```
void rt(const int ti){
    int i,j,ii,z ;
    bl a;
    if(ti>maxx){
        maxx=ti;
        if (ti>0)
            pri(maxx);
    }
    for(i=0;i<an[ti];i++){</pre>
        z = -1;
        for(j=i+1;j<an[ti];j++){</pre>
             a=tv[ti][j].b;
             if (!(bb[ti][i][a.i]&a.x)){
                 z++;
                 id[ti][z]=j;
             }
         }
        if (z+ti>=agk-2-lae){
             an[ti+1]=z+1;
             erg[ti]=tv[ti][i].n;
             for (j=0;j<=z;j++){</pre>
                 tv[ti+1][j]=tv[ti][id[ti][j]];
                 for (ii=0;ii<abl;ii++)</pre>
                     bb[ti+1][j][ii]=bb[ti][i][ii]|bb[ti][id[ti][j]][ii];
                 for (ii=0;ii<tai[tv[ti][i].n][tv[ti+1][j].n];ii++){</pre>
                     a=tab[tv[ti][i].n][tv[ti+1][j].n][ii];
                     bb[ti+1][j][a.i]|=a.x;
                 }
             }
             rt(ti+1);
        }
    }
};
```

## 3.2. Description of the Recursive Procedure

We use homogeneous coordinates. A point in PG(4, 4) is therefore represented as  $(x_0 : x_1 : x_2 : x_3 : x_4)$ . Consider the hyperplane  $H = (x_4 = 0)$ . A cap  $C \subset H$  is given. Put m = |C|. We wish to determine the 42-caps  $\mathcal{K} \subset PG(4, 4)$  satisfying  $\mathcal{K} \cap H = C$ . As the pointwise stabilizer of H in  $PGL_4(4)$  is transitive on the affine space  $PG(4, 4) \setminus H$ , we can assume that point  $F = (0 : 0 : 0 : 0 : 1) \in \mathcal{K}$ . The program performs an exhaustive search for such caps, which contain F and intersect H precisely in C.

The parameter *ti* describes the **depth** of the program. When the recursive procedure is called for the first time we have ti = 0. Whenever the recursion procedure is called with the new value of *ti*, we are given a cap  $\mathcal{P}_{ti-1} \supset \mathcal{C} \cup \{F\}$  of size m + 1 + ti. Put  $P_{-1} = \mathcal{C} \cup \{F\}$ . For any cap  $\mathcal{U} \subset PG(4, 4)$  denote by  $G(\mathcal{U})$  (the **good points**) the set of affine points  $p \notin \mathcal{U}$ , which complement  $\mathcal{U}$  to a cap. The cardinality of  $G(\mathcal{P}_{ti-1})$  is stored in an[ti], the points of  $G(\mathcal{P}_{ti-1})$  are stored in tv[ti][i], where  $i = 0 \dots$ , an[ti] - 1.

Table bb[ti][p] contains the set  $G(\mathcal{P}_{ti-1} \cup \{p\})$  for all  $p \in G(\mathcal{P}_{ti-1})$ . Another table

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tab[p][q] stores the points on the line through points p and q. Naturally this table will contain only the information that is really needed in the program. With these preparations we are ready to describe the recursive procedure:

- If the depth reached is bigger than the current maximum, then the maximum is updated and some output is produced.
- The program runs then through all  $p \in G(\mathcal{P}_{ti-1})$ . Assume in the sequel p is given.
- The point p is used to extend the cap provided

$$|G(\mathcal{P}_{ti-1} \cup \{p\})| + |\mathcal{P}_{ti-1}| \ge 41.$$

Assume point p satisfies the last condition. Put

$$\mathcal{P}_{ti} = \mathcal{P}_{ti-1} \cup \{p\}.$$

The following steps are then performed by the program:

- Some parameters are updated.
- For all  $q \in G(\mathcal{P}_{ti})$  the sets  $G(\mathcal{P}_{ti} \cup \{q\})$  are determined and stored in bb[ti + 1][q]. This is done using

$$G(\mathcal{P}_{ti} \cup \{q\}) = (G(\mathcal{P}_{ti-1} \cup \{p\}) \cap G(\mathcal{P}_{ti-1} \cup \{q\})) \setminus tab[p][q].$$

• Finally the recursive procedure is called again at depth ti + 1.

# 4. Appendix

# 4.1. The Field $\mathbf{F}_{16}$

We describe  $\mathbf{F}_{16}$  as an extension  $\mathbf{F}_4(\epsilon)$  of  $\mathbf{F}_4 = \{0, 1, \omega, \omega^2\}$ . Our irreducible polynomial is  $f(X) = X^2 + X + \omega$ . This leads to the relation  $\epsilon^2 + \epsilon + \omega = 0$ . In order to see that f(X) has maximal exponent write the elements of  $\mathbf{F}_{16}$  as  $\alpha \epsilon + \beta$ , where  $\alpha, \beta \in \mathbf{F}_4$ . It follows  $\epsilon^3 = (\epsilon + \omega)\epsilon = \epsilon^2 + \epsilon\omega = \epsilon + \omega + \epsilon\omega = \epsilon\omega^2 + \omega$ . Proceeding in the same way we get  $\epsilon^4 = \epsilon + 1$ ,  $\epsilon^5 = \epsilon^2 + \epsilon = \omega$ . As  $\omega$  has order 3, it is clear that  $\epsilon$  has order 15, thus f(X) has maximal exponent. The remaining powers of  $\epsilon$  are obtained by observing  $\epsilon^{5+i} = \omega\epsilon^i, \epsilon^{10+i} = \omega^2\epsilon^i$ . The additive structure is already determined:

$1 + \epsilon + \epsilon^4 = 0$	$1 + \epsilon^2 + \epsilon^8 = 0$	$1 + \epsilon^3 + \epsilon^{14} = 0$
$1 + \epsilon^6 + \epsilon^{13} = 0$	$1 + \epsilon^7 + \epsilon^9 = 0$	$1 + \epsilon^{11} + \epsilon^{12} = 0$

## 4.2. 41-caps in PG(4,4)

The columns of the following matrix  $M_1$  form a 41-cap.

 $10000213010223333122103103230321021023032\\01000132101013221322010121332022301101303\\00100303223220123321330101023302112102012\\00010032111103331223101030223133210010212\\00001130331132032231021013303320332120102$ 

Clearly we have written  $\mathbf{F}_4 = \{0, 1, 2, 3\}$ , where  $2 + 3 = 2 \cdot 3 = 1$ . Matrix  $M_1$  is the generator matrix of a quaternary code [41, 5, 28]<sub>4</sub>. The weight distribution of this code is

$$A_{28} = 120, A_{29} = 360, A_{31} = 288, A_{32} = 135, A_{37} = 120.$$

The columns of the following matrix  $M_2$  form another 41-cap in PG(4, 4).

The weight distribution of the code generated by  $M_2$  is

$$A_{24} = 9, A_{26} = 12, A_{28} = 105, A_{30} = 660$$
  
 $A_{32} = 90, A_{34} = 36, A_{36} = 51, A_{38} = 60.$ 

These caps are nonequivalent as their weight distributions are different.

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